

Hyperbaric Oxygen Therapy: Mechanism of Action and its Application in Periodontics: A Review

Harshita Gupta

ABSTRACT

Hyperbaric oxygen (HBO) therapy is widely used in a number of areas of medical practice. HBO increases local oxygen distribution, especially at the base of the periodontal pocket, which inhibits the growth of anaerobic bacteria and allows the ischemic tissues to receive an adequate intake of oxygen sufficient for a rapid recovery of cell metabolism, which may help to treat many periodontal diseases and also may act as a stimulator of osseointegration which would help during implant placement. The aim of this review article is to collect the information regarding the effects of HBO on periodontal diseases and dental implants. In conclusion, this review has shown that HBO may represent a useful aid, especially in combination with scaling and root planning (SRP), as far as nonsurgical periodontal therapy is concerned.

Keywords: Hyperbaric oxygen therapy, Implants, Periodontal diseases.

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INTRODUCTION

Periodontal diseases are caused primarily by pathogenic bacteria. The presence of putative periodontal pathogens in the gingival crevice is not sufficient to initiate the inflammation process. Elevation of the relative proportions of these bacteria plays a crucial role in causing tissue and bone damage.¹

Nowadays, various novel therapeutic approaches are tried as an alternative to conventional therapy or in combination with conventional therapy to reduce the load of periodontopathic pathogens. One of the effective therapeutic measures can be the use of hyperbaric oxygen, $n(\text{HBO}_2)$.²

Although the application of compressed gas in medicine had its origin centuries ago, the use of hyperbaric therapy dates back nearly 350 years. The first hyperbaric chamber was created in 1662; today, studies continue to improve and find more uses for hyperbaric oxygen (HBO) therapy.³

Hyperbaric literally translates to increased (hyper) pressure (baric). At the sea level, a person is being exposed to normal atmospheric pressure or 1 atm and breathes approximately 21% oxygen. In a hyperbaric chamber, this is increased to 100% oxygen and 1.5–3× normal atmospheric pressure. This allows the blood to carry more oxygen and deliver 15–25 times more oxygen to the tissues and organs of the body. Oxygen has natural healing properties, and increasing the amount that is circulating throughout the body promotes faster and more efficient healing for a wide variety of diseases and ailments. It also provides numerous health benefits.^{2,4}

MECHANISM OF ACTION

Therapeutic effects of HBO can be attributed to its mechanical or hyperoxygenation effects.^{5–31}

Hyperoxygenation

The effect of oxygen–hemoglobin reaction on the transportation of CO_2 , known as “Haldane” effect, results from the fact that a combination of oxygen with hemoglobin causes it to become a stronger acid. This displaces CO_2 from the blood in two ways: first,

Department of Periodontics, Sharda University, Greater Noida, Uttar Pradesh, India

Corresponding Author: Harshita Gupta, Department of Periodontics, Sharda University, Greater Noida, Uttar Pradesh, India, Phone: +91 9910368352, e-mail: gupta_harshita28@yahoo.com

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when there is more acid, hemoglobin has less tendency to combine with CO_2 to form carbhemoglobin. Much of the CO_2 present in this form in the blood is, thus, displaced and, second, the increased acidity of the hemoglobin causes it to release an excess of H^+ ions, and these, in turn, bind with bicarbonate ions to form carbonic acid which then dissociates into water and CO_2 , which is released from the blood into the alveoli.

Bubble Size Reduction

High air pressure decreases the volume of gas bubbles in the blood 2–3 times that of normal air pressure. High oxygen (100%) intake saturates the blood plasma with oxygen. It is the primary mechanism at work in the management of decompression sickness and arterial gas embolism.

Vasoconstriction

Elevated levels of oxygen cause vasoconstriction that leads to a reduced blood flow without significantly affecting tissue oxygenation. HBO is used to control compartment pressures in crush injuries and to treat thermal burns.

Fibroblast Proliferation/Collagen Synthesis

Oxygen is essential to make and properly organize collagen. Organized collagen is bundled into fibers (like strands in rope), which are interwoven and can be stretched in multiple directions without tearing (the collagen fibers are woven similar to fabric).

Oxygen is required for the hydroxylation of proline and lysine in procollagen, several posttranslational steps in collagen synthesis (enzymes prolyl hydroxylase, lysyl hydroxylase, and lysyl oxidase), formation of cross-linked triple-helices, and cross-linking by lysyl hydroxylase. Higher oxygen concentrations increase the amount of collagen deposition and tensile strength. It has been shown that increasing oxygen enhances collagen synthesis and tensile strength in both animal and human subjects and can increase the level of collagen organization.

Neovascularization/Angiogenesis

The creation of new blood vessels, angiogenesis, is essential to the growth and survival of the repaired tissue. Oxygen levels directly affect the rate and the quality of new blood vessel growth. Sufficient oxygen levels are required for correct collagen synthesis (posttranslational hydroxylation), without which the new capillary tubes assemble poorly and remain fragile. Supplemental oxygen accelerates blood vessel growth.

Bactericidal/Bacteriostatic Effect

HBO therapy exerts both direct and indirect effects against bacteria. Direct bactericidal and bacteriostatic effects occur through the generation of oxygen-free radicals. This free radical oxidizes proteins and membrane lipids, damages DNA, and inhibits metabolic functions essential for the growth of organisms.

An indirect effect of HBO₂ in bacterial killing is through improving leukocytes function and is regarded as being more significant than the direct bactericidal and bacteriostatic effects. Neutrophils require oxygen as a substrate for microbial killing, after phagocytosis occurs. It inhibits the production of alpha-toxin by *Welchii* and is synergistic with aminoglycosides and quinolones. This is important in the treatment of gas gangrene and necrotizing tissue infection.

Antibiotic Synergism

HBO has also been shown to potentiate the effects of certain antibiotics, especially aminoglycosides and sulfonamides.

Increases Cell Metabolism and Energy Production

Oxygen is required for intracellular processes like biosynthesis, movement, and transport need energy to be functional, as well as for cell survival. Oxygen-dependent enzymes include adenosine triphosphate (ATP) for chemical energy and nicotinamide adenine dinucleotide phosphate (NADPH) oxygenase for respiratory burst (reactive oxygen species (ROS) release). Aerobic glycolysis, β -oxidation of fatty acids, and the citric acid cycle are tightly attached to the energy acquisition by oxidative phosphorylation and are, therefore, oxygen dependent.

Increases Rate of Cell Proliferation and Reepithelialization

Epithelial cells "march in" from the sides to close the wound and form a barrier between the wound and the environment—this is the foundation for forming new skin. Fibroblast proliferation and protein production have been reported to be optimal at 160 mm Hg, i.e., at pO_2 levels 2-fold to 3-fold higher than those found in healthy tissues, indicating that supplemental oxygen increases the rate of wound repair. Endothelial progenitor cells (EPCs) are essential in wound healing and are triggered by hyperoxia through induction of nitric oxide (NO) with resulting enhancement in ischemic limb perfusion and wound healing.

Promotes Growth Factor Signaling

ROS are essential for the signaling processes of growth factors and processes such as leukocyte recruitment, cell motility, angiogenesis, and extracellular matrix formation. ROS such as hydrogen peroxide (H₂O₂) increases vascular endothelial growth factor (VEGF) production in macrophages and keratinocytes. VEGF is a major long-term angiogenic stimulus at the wound site. Platelet-derived growth factor (PDGF) requires ROS in its role to regulate cell growth and division, and angiogenesis.

Increases Antibacterial Activities

Oxygen is essential for respiratory burst, the production of ROS, used by phagocytes such as neutrophils and macrophages in bactericidal activity, and the removal of necrotic cellular debris. NADPH oxidase, also known as leukocyte oxidase, supports macrophage survival (delay of apoptosis) and enables dead cell cleansing by phagocytosis.

Accelerates Osteoblast Differentiation and Promotes Bone Formation

Daily exposure to HBO accelerated the rate of osteoblast differentiation as determined by increased alkaline phosphatase activity and expression of type I collagen and Runx-2 mRNA during the early stages of culture. HBO also augmented bone nodule formation in hypoxic conditions. HBO had a more pronounced effect on these key markers of osteoblast differentiation than elevated oxygen or pressure alone. HBO accelerates the rate of osteoblast differentiation.

RATIONALE BEHIND HBOT

According to Kessler et al.,³² HBOT cannot significantly increase the amount of oxygen bound to hemoglobin molecules but can increase the amount of oxygen dissolved in the plasma.

The positive effects of HBOT stem from the benefit of increasing the tissue oxygen tension and/or pressure within the wound site and include

- Alteration of ischemic effect,
- Reduction of edema,
- Modulation of the production of NO,
- Modification of the effect of growth factors and cytokines,
- Promotion of cellular proliferation,
- Acceleration of collagen deposition,
- Stimulation of capillary budding,
- Accelerated microbial oxidative killing,
- Interference with bacterial proliferation,
- Modulation of the immune system response, and
- Enhancement of oxygen radical scavengers, thereby reducing ischemia-reperfusion injury.³³

TYPES OF HBO CHAMBERS

These include the following:

Multiplace Chambers

These units can accommodate between 2 and 18 or more patients and commonly incorporate a minimum pressure capability of 6.0 atmosphere absolute (ATA).

Advantages

- Constant patient attendance and evaluation (particularly useful in treating evolving neurological diseases such as decompression sickness and cerebral arterial gas embolism).

- Multiple patients treated per session.
- Greater working pressure.

Disadvantages

- Higher capitalization requirements.
- Major space requirements; basement and/or ground floor level limitations.
- Higher operating costs.

Monoplace Chambers

They were designed for single occupancy and usually constructed of acrylic, having a pressure capability of 3.0 ATA, and compressed with 100% oxygen. The high flow oxygen requirement is ideally supplied via a hospital's existing liquid oxygen system (Figs 1 and 2).

Advantages

- Cost-efficient delivery of HBO₂.
- No risk of decompression sickness.
- Portable, less space, less equipment, no hood, or mask.
- No risk of iatrogenic decompression sickness in patient or staff.

Disadvantages

- Relative patient isolation.
- Associated fire hazard.
- Inability to use certain diagnostic and/or therapeutic equipment.

Silicon Trays

These trays are made up of silicon and oxygen can be supplied to these trays via an anterior valve. The silicon trays are trimmed to the right length and should be adjusted to the individual patient to create a tight fit with the mucous membrane while allowing the oxygen unimpeded access to the gingiva (Fig. 3).

INDICATIONS

- Air or gas embolism.
- Carbon monoxide poisoning or carbon monoxide poisoning complicated by cyanide poisoning.
- Clostridial myositis and myonecrosis (gas gangrene).
- Crush injury, compartment syndrome, and other acute traumatic ischemia.
- Decompression sickness.
- Enhancement of healing in selected problem wounds: diabetically derived illness, such as diabetic foot, diabetic retinopathy, and diabetic nephropathy.
- Exceptional blood loss (anemia).



Fig. 1: Multiplace chamber

- Intracranial abscess.
- Necrotizing soft tissue infections (necrotizing fasciitis).
- Osteomyelitis (refractory).
- Delayed radiation injury (soft tissue and bony necrosis).
- Skin grafts and flaps (compromised).
- Thermal burns.

CONTRAINDICATIONS

Absolute Contraindications

- Untreated pneumothorax
- Bleomycin
- Cisplatin
- Disulfiram
- Doxorubicin
- Sulfamylon

Relative Contraindications

- Asthma
- Claustrophobia
- Congenital spherocytosis
- Chronic obstructive pulmonary disease (COPD)
- Eustachian tube dysfunction
- High fever
- Pacemakers or epidural pain pump
- Pregnancy
- Seizures
- Upper respiratory infection (URI)



Fig. 2: Monoplace chamber



Fig. 3: Silicon trays (maxilla and mandible)

EFFECTS OF HBO THERAPY ON PERIODONTAL DISEASE

Mechanism

HBO therapy showed to increase oxygen distribution at the base of the periodontal pocket which is deleterious to periodontal pathogens, particularly to the anaerobic microorganisms. Cultivation of plaque microorganisms from sites of chronic periodontitis reveals high percentages of anaerobic (90%) bacterial species.^{34,35} HBO₂ increases the generation of oxygen-free radicals, which oxidize proteins and membrane lipids, damage deoxyribonucleic acid, and inhibit bacterial metabolic functions. It also facilitates the oxygen-dependent peroxidase system by which leukocytes kill bacteria. HBO₂ also improves the oxygen-dependent transport of certain antibiotics across bacterial cell walls. In this way, HBOT results in the inhibition of bacterial growth.³⁶

While, on the contrary, HBOT would also allow the ischemic tissues to receive an adequate intake of oxygen sufficient for a rapid recovery of cell metabolism. Oxygen tension in periodontal pockets is very low (pO_2 5–27 mm Hg) when compared with atmospheric pO_2 (155 mm Hg), the arterial blood pO_2 (95 mm Hg), and the venous blood pO_2 (20–40 mm Hg).^{37,38} Fibroblast and leukocyte function are severely compromised when pO_2 is ≤ 30 mm Hg. HBO₂ increases collagen formation for capillary growth. HBO₂ also promotes fibroblast replication and collagen formation, while the patient is in the hyperbaric chamber. It also increases the bactericidal function of leukocytes. HBOT also improves gingival microcirculation and increases gingival blood flow.

Thus, in periodontal tissues, HBOT showed to have a deleterious effect on periodontal microorganisms as well as beneficial effects on periodontal healing by raising oxygen tension in the pocket.

EVIDENCE

Guentherman et al.³⁹ showed through an experiment that periodontal pathology induced in dogs was treated with hyperoxygenation. Blood oxygen tensions were elevated to at least 1,900 mm Hg by means of a HBO chamber for 2 hours twice a day, 2 days a week for 4 weeks. Clinical appearance and loss of alveolar bone were recorded in treated and untreated animals. On the one hand, the animals receiving HBO had gingival tissues that appeared clinically healthy and were found to have an only modest bone loss at the end of 8 weeks. On the other hand, the control animals often had grossly inflamed gingiva and marked loss of bone at the end of the 8-week period.

Manhold et al.⁴⁰ showed through an experiment that some commercially available oxygenating agents demonstrated shorter healing times when applied on inflamed gingiva.

Hirsch et al.⁴¹ studied the effect of locally released oxygen on the development of plaque and gingivitis in man and concluded that there was no significant effect of oxygen on plaque formation, crevicular fluid flow, or the number of gingival bleeding sites.

Schlagenhauf et al.⁴² and Issac et al.⁴³ used repeated subgingival oxygen irrigations in previously untreated periodontal patients. They concluded that repeated oxygen insufflations resulted in a significant clinical improvement of the periodontal baseline conditions superior to the one found in the control.

Gaggl et al.⁴⁴ applied localized oxygenation in contrast to systemic oxygen therapy, to help treat acute necrotizing periodontal diseases. In both groups of patients, colonization with *Prevotella intermedia*, *Tannerella forsythia*, and *Treponema denticola* was initially positive. None of these microorganisms was completely eradicated in any of the patients in the group without oxygen therapy within the first 10 days.

Signoretto et al.³⁶ evaluated the effects of HBO₂ on patients suffering from adult chronic periodontitis in comparison with surgical intervention (SRP), as well as the effects of a combination of both therapies on the evolution over time of the microflora of the periodontal pockets and found that the combination of HBO₂ and SRP substantially reduced (by up to 99.9%) the Gram-negative anaerobe loads of the subgingival microflora. The low values of pathogens persisted for at least 2 months after the therapy. HBO₂ or SRP alone produced a temporarily more limited effect on periodontal anaerobes. In addition, molecular detection of the main periodonto-pathogenic bacteria significantly reduced in the number of dental sites, which harbored them.

Nogueira-Filho et al.⁴⁵ evaluated the effect of HBOT as an adjunct to SRP in the treatment of severe cases of periodontitis. They concluded that HBOT had a short-term beneficial effect on pocket reduction and bacterial elimination, and may be considered a potential adjunct therapeutic option to improve the clinical outcomes of scaling in severe cases of chronic periodontitis.

Chen et al.⁴⁶ investigated the effects of HBO₂ on aggressive periodontitis (AgP) and subgingival obligate anaerobes in Chinese patients and concluded that HBO₂ inhibits the growth of subgingival obligate anaerobes and facultative anaerobes and bacteroides melaninogenicus, thus, promoting healing of periodontium, which will be of help in the treatment of AgP. HBO₂ therapy combined with SRP appears to be even better for synergistic treatment of AgP. The effects can last >2 years.

Ganesha et al.⁴⁷ evaluated the efficacy of HBOT as an adjunctive therapy for patients suffering from chronic periodontitis, after applying the conventional therapy of scaling and root planing (SRP). Also, the study aimed to investigate the required number of HBOT sessions for treatment. Fifty-four patients aged 30–50 years with chronic periodontitis and 4–6 mm of pocket depth were divided into three treatment groups: SRP treatment only, SRP with 8 sessions of HBOT, and SRP with 16 sessions of HBOT. As clinical data, pocket depth, clinical attachment level, and bleeding on probing were monitored for a period of up to 1 month. The results showed that HBOT can be beneficial as an adjunctive therapy of chronic periodontitis when combined with SRP and that 8 sessions of HBOT are sufficient for the purpose.

Marx et al.⁴⁸ evaluated 18 bony reconstructions of the mandible or the maxilla using a newly defined and specific HBO protocol have been reported. Eleven of 12 grafts in irradiated tissue met six rigid criteria for a 91.6% rate of success. All six grafts into scarred and deficient tissue beds also met the same criteria, for an overall success rate of 94%. The rationale for emphasizing preoperative tissue preparation using HBO is discussed, as are the mechanisms of action of HBO on a biochemical, cellular, and tissue level. Neovascularity and neocellularity are demonstrated histologically by human biopsy specimens, and this is suggested as being the reason for the excellent results of reconstruction in irradiated and/or deficient tissue beds.

HBO AND IMPLANT

Dental implants offer a way to replace missing teeth. Patients who have undergone radiotherapy or surgery may benefit from reconstruction with implants.

Mechanism

HBO has been shown to affect angiogenesis, bone metabolism, and bone turnover. In relation to radiotherapy, HBO₂ can, thus, counteract some of the negative effects of irradiation and actually act as a stimulator of osseointegration. The exact mechanisms at the cellular level where HBO₂ acts remain obscure. It has been recently shown that HBO₂ and basic fibroblast growth factor (bFGF) acts synergistically in the irradiated bone. Factors that could be involved in bone protection by bFGF and HBO₂ are bone marrow radioprotection, induction of oxygen radical scavengers, and production of different cytokines.

HBO and bFGF can also enhance the level of insulin growth factor, which is known to promote proliferation and differentiation of bone. They could also affect bone progenitor cells by promoting DNA synthesis, stimulating enzymes involved in bone formation, or affect membrane receptors. HBO₂ has furthermore been shown to affect the interface between the titanium implant and the bone, which could be different from the cellular effect.

Oxygen under hyperbaric conditions could, thus, play a role in osseointegration by affecting bone cell metabolism, implant interface, and capillary network in the implant bed.

Evidence

Taylor and Worthington⁴⁹ reported that when implants were placed in conjunction with HBOT, healing was more reliable, although still slow. They recommended HBO₂ for patients treated with >50 Gy.

Johnsson⁵⁰ investigated the influence of a single 15 Gy dose of irradiation on the capacity of titanium screws to integrate with the irradiated bone tissue. The biomechanical force necessary to unscrew the titanium implants 8 weeks after placement was 54% lower for implants in irradiated bone tissue compared to implants in nonirradiated bone tissue. Postirradiation use of HBO treatment at 2.8 ATA (2 hours daily treatments for 21 days) increased the biomechanical force necessary to unscrew the titanium implants by 44% in the irradiated bone and by 22% in the nonirradiated bone.

Andersson et al.⁵¹ concluded that the implant treatment for oral rehabilitation can be carried out as a safe and successful procedure in the irradiated patient without adjunctive HBO therapy. Marx and Morales⁵² reported a 5-years survival in 622 out of 748 osseointegrated implants after HBO₂ treatment.

Granström et al.⁵³ in a case-controlled study found that about 53.7% implants failed in the irradiated group compared to 13.5% in the nonirradiated group and 8.1% for the irradiated HBO₂-treated group. He concluded that the implant insertion in the irradiated bone is associated with a higher failure rate. Adjuvant HBO treatment can reduce the failures. Johnsson et al.⁵⁴ concluded that irradiation reduces the capacity for osseointegration of titanium implants. HBO treatment may improve bone formation and, especially, has positive effects on bone maturation after irradiation.

Granström⁵⁵ and Teoh et al.⁵⁶ concluded that the adjunctive use of HBO treatment with implant installation is strongly recommended. Brandt and Balanoff⁵⁷ concluded that using an accepted hyperbaric oxygenation protocol when placing and restoring immediate implants in their case report resulted in a successful treatment outcome.

Esposito et al.⁵⁸ and Coulthard et al.⁵⁹ in a systematic review found only one randomized controlled trial (RCT) comparing HBO₂

with no HBO₂ for implant treatment in irradiated patients and they concluded that HBOT in irradiated patients requiring dental implants may not offer any appreciable clinical benefits. There is a definite need for more RCTs to ascertain the effectiveness of HBO₂ in irradiated patients requiring dental implants. Grecchi et al.⁶⁰ in the case of mandible osteonecrosis after a severe peri-implant infection observed that the risk of developing osteonecrosis of the jaw for oral implants is low after HBO therapy. Nyberg et al.,⁶¹ Chambrone et al.,⁶² Wadhawan et al.,²⁴ and Shah et al.⁶³ concluded that the HBO can be the effective treatment protocol for the implant treatment in irradiated maxillofacial patients.

CONCLUSION

HBO has been successfully used in several medical applications. It has been described as "a therapy in search of diseases."

Several studies have described the beneficial role of HBO in the treatment of various human pathologies either alone or in combination with other therapies. Very few studies have been conducted to analyze the effects of HBO therapy on periodontal disease. Although available evidences are few, HBOT was shown to improve gingival blood flow and microcirculation and inhibit the growth of periodontal pathogens in periodontal pocket when used alone or in combination with conventional periodontal therapy. In future, further research is required to be conducted to prove potential benefits of HBOT.

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